| Electronic Acknowledgement Receipt   |   |  |
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| EFS ID:                              | 6647456   |  |
| Application Number:                  | 10628631  |  |
| International Application Number:    |   |  |
| Confirmation Number:                 | 4899  |  |
| Title of Invention:                  | System and method for improved electronic trading |  |
| First Named Inventor/Applicant Name: | Fred Monroe                                       |  |
| Customer Number:                     | 39310   |  |
| Filer:                               | Thomas J. Loos                                    |  |
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## File Listing:

| Document<br>Number | Document Description | File Name  | File Size(Bytes)/<br>Message Digest | Multi<br>Part /.zip | Pages<br>(if appl.) |
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| 1                  |                      | 03-748_response_to_120809_a<br>dvisory_action_for_121609.pdf |                                     | yes                 | 5                   |

|           | Multipart Description/PDF files in .zip description |       |     |
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|           | Amendment After Final                               | 1     | 1   |
|           | Claims  | 2     | 4   |
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.